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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/529,512
	Filing Date	March 25, 2005
	First Named Inventor	White et al.
	Art Unit	NA
	Examiner Name	NA
	Attorney Docket Number	SWA-007US1
Total Number of Pages in This Submission	5	

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Endosure(s) (please identify below):
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Keown & Associates	
Signature		
Printed name	Joseph C. Zuccheri	
Date	July 1 2005	Reg. No. 55,762

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:		
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Typed or printed name	Melissa Simpson	Date 7/1/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: White et al.
Application No.: 10/529,512 Group: NA
Filed: March 25, 2005 Examiner: NA
Confirmation No.: NA

For: NUCLEAR RECEPTOR TRANSCRIPTIONAL COREPRESSOR

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Signature: Melissa Simpson
Printed Name: MELISSA SIMPSON

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either
☐ a Statement under 37 CFR 1.97(e), as check below, or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
☐ a Statement under 37 CFR 1.97(e), as check below, or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):

Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.

(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
 - ☒ Copies of the cited references are enclosed.
 - ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contained copies of the cited referenced]
 - ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
 - ☐ The "concise explanation" requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
 - ☐ the enclosed English language abstract.

☐ Applicant request that the following non-published pending application be considered:

Examiner's
Initials

_____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

_____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

_____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

Examiner

Date

☐ A copy of each above-cited application, including the current claims, is enclosed.

☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending application indicating which references were considered with the net office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of Payment:

☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.

☐ Please charge Deposit Account 50-2285 in the amount of \$[]. A copy of this Statement is enclosed.

☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 50-2285.

Date: July 12, 2005

Respectfully submitted,

By: Joseph C. Zuccherro
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Subt. Form PTO-1449 INFORMATION DISCLOSURE IN AN APPLICATION (Use several sheets if necessary)	Docket Number	Application Number
	SWA-007US1	10/529,512
	Applicant	
	White et al.	
Filing Date	Group Art Unit	
3/25/05	NA	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	IDENTIFIER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	IDENTIFIER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	IDENTIFIER	
	C1	Chawla, A. et al., "Nuclear Receptors and Lipid Physiology: Opening the X-Files", Science, 294:1866-1870 (2001)
	C2	Brzozowski, A.M. et al., "Molecular Basis of Agonism and Antagonism in the Oestrogen Receptor", Nature, 389:753-758 (1997)
	C3	Rosenfeld, M.G. et al., "Coregulator Codes of Transcriptional Regulation by Nuclear Receptors", J. Biol. Chem., 276(40):36865-36868 (2001)
	C4	Khochbin, S. et al., "Functional Significance of Histone Deacetylase Diversity", Curr. Opinion Genet. Dev., 11:162-166 (2001)
	C5	Pepe, G.J. et al., "Actions of Placental and Fetal Adrenal Steroid Hormones in Primate Pregnancy", Endocrine Rev., 16(5):608-648 (1995)
	C6	Sigmund, T. et al., "Development Genes and Evolution", Dev. Genes Evol., 212:152-157 (2002)
	C7	Shiau, A.K. et al., "The Structural Basis of Estrogen Receptor/Coactivator Recognition and the Antagonism of This Interaction by Tamoxifen", Cell, 95:927-937 (1998)
	C8	Dahiya, A. et al., "Linking the Rb and Polycomb Pathways", Mol. Cell, 8:557-568 (2001)
	C9	Kato, S. et al., "Activation of the Estrogen Receptor Through Phosphorylation by Mitogen-Activated Protein Kinase", Science, 270:1491-1494 (1995)
	C10	Aumais, J. et al., "Function of Directly Repeated Half-Sites as Response Elements for Steroid Hormone Receptors", Biol. Chem., 271(21):12568-12577 (1996)
	C11	Lee, H.S. et al., "Hormone-Dependent Transactivation by Estrogen Receptor Chimeras That Do Not Interaction with hsp90", J. Biol. Chem., 271(42):25727-25730 (1996)
	C12	Renaud, JP et al., "Structural Studies on Nuclear Receptors", Cell & Mol. Life Sci., 57:1748-1769 (2000)
	C13	Eng, F.C.S. et al., J. Biol. Chem., 273:28371-28377 (1998)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy with next communication to Applicant.